



# MONTANA LEGISLATIVE BRANCH

## Legislative Fiscal Division

Room 110 Capitol Building \* P.O. Box 201711 \* Helena, MT 59620-1711 \* (406) 444-2986 \* FAX (406) 444-3036

Director  
AMY CARLSON

DATE: September 12, 2011  
TO: Legislative Finance Committee  
FROM: Barbara Smith, Fiscal Specialist   
RE: Required Reports

The legislature (through statute, bill language or committee action) requires certain reports to be submitted to the Legislative Finance Committee (LFC) and the Legislative Fiscal Division (LFD). State agencies and other entities have been requested to provide information on various subjects of interest to facilitate the decision making process.

The reports fit into two categories: 1) reports required to be submitted to the LFC are general to monitor compliance or progress with legislative policy/initiatives; and 2) reports required to be submitted to the LFD are primarily to provide data revelation for staff analysis of budget issues. The attached document lists the required reports and the anticipated date reports should be received during the 2013 biennium. Eight reports have been received and reviewed by the LFD since the last LFC meeting (June 2011). They are attached for your review.

If you have questions, please feel free to contact me at [basmith@mt.gov](mailto:basmith@mt.gov) or 444-5347.

# Required Reports List for 2012-13 Biennium

Prepared by the Legislative Fiscal Division

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
<b>1</b> House Bill 10	DPHHS	Upon Occur.	LFC	Daumiller	Smith
<b>Short Description: Report on changes to appropriations and authority related to the IT projects in HB 4 of 2007 May special session (section 3, Chapter 3, Special Laws of May 2007 [subsection 3] and in section 2 of HB 10 [subsection 3] of 2009 session)</b>					

Reports Scheduled / Rec'd: During Fiscal Year    Submitted by    Due Date    Recd Date    Comment

2012    DPHHS

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
<b>2</b> 61-2-109	Transportation	Nov 1 of even year	LFC	Duncan	
<b>Short Description: Report summarizing emergency medical services grants requested, awarded, and use of funds, by November 1 preceding regular session of legislature. Session Laws 2009, Chapter 486, section 25, codified in 61-2-109, MCA.</b>					

Reports Scheduled / Rec'd: During Fiscal Year    Submitted by    Due Date    Recd Date    Comment

2013          11/1/2012          Not due yet

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
<b>3</b> 2-17-101(1)	Administration	Sept 1 of even year	LFC	Wilkinson	
<b>Short Description: Identify the amount, location, and nature of space used by each agency, including summary of info on average cost per square foot for each municipality.</b>					

Reports Scheduled / Rec'd: During Fiscal Year    Submitted by    Due Date    Recd Date    Comment

2013          9/1/2012          Not due yet

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
4 2-17-515	Administration	Upon Occur.	LFC	Smith	Wilkinson
<b>Short Description: Exceptions to information technology policy, standard, or other requirement.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	Administration		9/9/2011	Reported at 9/29 LFC Meeting

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
5 2-17-522	Administration	March, Even Yr	LFC	Smith	Wilkinson
<b>Short Description: Updates to state strategic information technology plan. It is to be provided to the LFC at its first meeting following March 1st of even year.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012		3/8/2012		Not Due Yet

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
6 17-1-102(4)	Administration	FY End	LFC	Wilkinson	
<b>Short Description: Reports on general fund/non-general fund encumbrances remaining at fiscal year-end. After 3 years, approved extensions must be reported.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	Administration	9/15/2011	9/8/2011	On file - GF encumbrance extensions
	2012	Administration	9/15/2011	9/8/2011	On file - Non-GF encumbrance extensions

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
7 17-1-505(2)	Agencies	Upon Occur.	LFD	Smith	
<b>Short Description: Report on the identification of the use of a dedicated revenue provision that does not satisfy the criteria established in statute. The Legislative Fiscal Analyst will report these to the LFC no later than Oct. 31 of the year preceding a regular session.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2013		10/31/2012		Not Due Yet

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
8 17-2-107(5)	OCHE/Administration	Annual	LFC	Joehler	Wilkinson

Short Description: Loans or loan extensions authorized for two consecutive fiscal years to same accounting entity - due 9/1 of the next year.

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	OCHE	9/1/2011		
	2012	Administration	9/1/2011	9/6/2011	On file

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
9 17-2-107(6)	Commissioner Higher Ed	Annual	LFC	Joehler	

Short Description: Negative cash balances for any two consecutive fiscal years - due 9/1 of the next year.

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	OCHE	9/1/2011		Not yet received

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
10 17-2-111	Administration	Feb. 1, 2012	LFC	Smith	

Short Description: Information of state special revenue accounts if requested by the LFC.

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012		2/1/2012		None requested at this time

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
11 17-2-304(1)	Approving Authority	Sept 15	LFC	Purdy	

Short Description: Information concerning accounts with cash balances in excess of statutory limits; certification of statutory exceptions.

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	OBPP	9/15/2011	9/14/2011	On File

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
12 17-5-820(3)	Commerce	Upon Occur.	LFC	Wilkinson	
<b>Short Description: Presentation to Legislative Finance Committee on issuance of bonds for aerospace transportation and technology projects.</b>					

Reports Scheduled / Rec'd: During Fiscal Year Submitted by Due Date Recd Date Comment  
 2012 Commerce No occurrences to report

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
13 17-7-140(1)(b)	Agencies	Upon Occur.	LFD	Purdy	
<b>Short Description: Analyze if GF program is mandatory and analyze the impact of the proposed reduction in spending in instances in which the Governor is proposing reductions in spending of up to 10 percent. The "trigger" for this to occur is in statute.</b>					

Reports Scheduled / Rec'd: During Fiscal Year Submitted by Due Date Recd Date Comment  
 No Occurrences

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
14 17-7-140(1)(b)	Budget Director	Upon Occur.	LFD	Purdy	
<b>Short Description: Copy of the recommendations for reductions in spending. The "trigger" for this to occur is in statute.</b>					

Reports Scheduled / Rec'd: During Fiscal Year Submitted by Due Date Recd Date Comment  
 No Occurrences

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
15 17-7-304(2)	U System (Bd of Regents)	If Requested	LFC	Joehler	Duncan
<b>Short Description: Long-term plan for major and deferred maintenance expenditures and equipment or fixed assets.</b>					

Reports Scheduled / Rec'd: During Fiscal Year Submitted by Due Date Recd Date Comment  
 Upon request

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
16 17-8-101(5)	OBPP	Semi-Annual	LFC	Purdy	
<b>Short Description: Appropriations transferred from one state agency to another if original purpose is maintained.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	OBPP	9/15/2011	8/1/2011	On file

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
17 17-8-101(7)	Administration	Annually	LFC	DeWitt	
<b>Short Description: Nature, status, and justification for all new accounts in the enterprise fund and the internal service fund.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	Administration	9/1/2011	9/6/2011	On file

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
18 33-22-1514(4)	Lead Carrier (BC/BS)	Semi-Annual	LFC	Steinbeck	
<b>Short Description: Report on the operation of the Montana Comprehensive Health Association plan by selected lead carrier, which is Blue Cross/Blue Shield. (Copies on the annual report are sent direct to all legislators.)</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012		10/15/2011		Not due yet
	2012		4/15/2012		Not due yet

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
19 39-71-2363(2)(b)	State Fund	October 1	LFC	Wilkinson	
<b>Short Description: Submit for review the approved annual budget for State Fund for the succeeding fiscal year.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	State Fund	10/1/2011		In LFC mailing

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
<b>20</b> 47-1-201(9)(a)	Office of Public Defender	Annual	LFC	DeWitt	
<b>Short Description:</b> Report by Office of Public Defender of data for each fiscal year representing caseload for the entire public defender system.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012		9/30/2011		Report Not Yet Due

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
<b>21</b> 47-1-201(9)(b)	Office of Public Defender	Annual	LFC	Dewitt	
<b>Short Description:</b> Report by Office of Public Defender on the amount of funds collected as reimbursement of services rendered.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012		9/30/2011		FY 2011 report not due yet

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
<b>22</b> 50-4-805(5)(a)	DPHHS	TBD	LFC	Steinbeck	
<b>Short Description:</b> Interim reports on the status of the creation or expansion of community health centers, number of persons service and program expenditures.					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012				TBD

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
<b>23</b> 53-1-611(1)	DPHHS	Upon Occur.	LFC	Steinbeck	
<b>Short Description:</b> Evaluative report regarding federal Medicaid block grants if the state would need to make a decision regarding application or acceptance of such block grants prior to the next regularly scheduled legislative session. (2003)					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
					No occurrence reported

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
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24 53-6-110(4) DPHHS Monthly LFC Steinbeck Holzer

Short Description: Monthly (11/15 - 6/15) reports containing estimates of the cost for Medicaid services and a budget status report for all department programs, and a fiscal year-end summary of Medicaid costs and department budget status report.

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	DPHHS	9/15/2011	9/8/2011	2011 FYE Report on file

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
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25 53-6-116(5) DPHHS Upon Occur. LFC Steinbeck

Short Description: Proposals, if developed, regarding managed-care and capitated health care systems for Medicaid recipients.

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012				No occurrence reported

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
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26 53-21-702(4)(d) DPHHS Upon Occur. LFC Steinbeck

Short Description: Mental Health Oversight Advisory Council summary of each meeting and copy of any recommendations made to the department

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012				No occurrence reported

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
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27 75-1-1101(5) Governor (DNRC) Annually by Sept 15 LFC Smith

Short Description: Annual financial report on the environmental contingency account, including a description of all expenditures made since the previous report (HB 116 of 2007 session effective July 1, 2007).

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	Governor (DNRC)	9/15/2011		Not yet received

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
28 85-1-605(4)	Nat Resources & Cons	Upon Occur.	LFC	Duncan	
<b>Short Description: Allocation of renewable resource grants and loans for emergencies.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012				No occurrence reported

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
29 90-6-703	Commerce	Upon Occur.	LFC	Duncan	
<b>Short Description: Report on emergency grants awarded from an appropriation from the treasure state endowment special revenue account for emergency grants.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012				No occurrence reported

Authority	Reporting Entity	Frequency	To	Staff Review 1	Staff Review 2
30 53-4-209(6)	DPHHS	Annually	LFC	Daumiller	
<b>Short Description: Annual report on Montana Parents as Scholars program.</b>					

Reports Scheduled / Rec'd:	<u>During Fiscal Year</u>	<u>Submitted by</u>	<u>Due Date</u>	<u>Recd Date</u>	<u>Comment</u>
	2012	DPHHS			

OFFICE OF THE GOVERNOR  
BUDGET AND PROGRAM PLANNING  
STATE OF MONTANA

BRIAN SCHWEITZER  
GOVERNOR



PO BOX 200802  
HELENA, MONTANA 59620-0802  
**RECEIVED**  
AUG 02 2011  
LEGISLATIVE  
FISCAL ANALYST

MEMORANDUM

TO: Amy Carlson, Legislative Fiscal Analyst  
Legislative Fiscal Division

FROM: *David Ewer* David Ewer, Director  
Office of Budget and Program Planning

DATE: August 1, 2011

SUBJECT: **Appropriations Transferred from One State Agency to Another:  
FY 2011**

In accordance with 17-8-101(5), MCA, the report from the Office of Budget and Program Planning to the Legislative Finance Committee on appropriations transferred from one state agency to another state agency is enclosed for the FY 2010. These transfers have been made under the authority of various sections of statute. *2011 as*

**17-8-101** allowing that money may be transferred from one state agency to another, provided that the original purpose of the appropriation is maintained.

**18-2-102** allowing the governor to authorize the emergency repair or alteration of a building and to transfer funds and authority as necessary to accomplish the project.

**18-2-105** allowing the Department of Administration in carrying out powers relating to the construction of buildings to transfer funds and authority to agencies and accept funds and authority from agencies

**90-4-607** allowing the department of Environmental Quality to transfer funds and authority to the department of administration to procure design and construction of cost-effective energy improvements

Please let me know if you have questions or wish additional information.

C: Affected Agency Centralized Services Administrators

P:\LFC Reports\AT Reports\2011-08 AT Report

**Interagency Transfer Report**

Transaction Description	Amount	Fund	From: Agency	Program	To: Agency	Program
Commodity Freezer	\$ 17,036	06528	Department of Administration	General Services	Long-Range Building Prgm	Departments & Agencies
Renovation and Energy Upgrades to the State Grain Lab	\$ 81,497	02453	Department of Agriculture	Agriculture Development Div.	Long-Range Building Prgm	Departments & Agencies
High Side Energy	\$ 78,000	01100	Department of Corrections	Secure Custody Facilities	Long-Range Building Prgm	Departments & Agencies
FWP HVAC Projects	\$ 463,844	02410	Department of Fish, Wildlife, & Parks	Management & Finance	Long-Range Building Prgm	Departments & Agencies
Forensic Lab Repairs	\$ 30,038	01100	Department of Justice	Forensic Science Division	Long-Range Building Prgm	Departments & Agencies
Butte Roof Repairs	\$ 45,000	02422	Department of Justice	Motor Vehicle Division	Long-Range Building Prgm	Departments & Agencies
Reroof Great Falls Job Service	\$ 40,000	02258	Department of Labor & Industry	Workforce Services Div.	Long-Range Building Prgm	Departments & Agencies
Helena Workforce Services	\$ 150,000	02258	Department of Labor & Industry	Workforce Services Div	Long-Range Building Prgm	Departments & Agencies
Energy Projects	\$ 350,000	02422	Department of Transportation	Maintenance Program	Long-Range Building Prgm	Departments & Agencies
Energy Projects	\$ 469,318	02422	Department of Transportation	Maintenance Program	Long-Range Building Prgm	Departments & Agencies
Consolidate DNRC Division	\$ 4,159	01100	Dept of Natural Resources and Cons.	Forestry/Trust Lands Div.	Long-Range Building Prgm	Departments & Agencies
Improvements to the DNRC Clearwater Unit	\$ 20,000	01100	Dept of Natural Resources and Cons.	Forestry/Trust Lands Div.	Long-Range Building Prgm	Departments & Agencies
Central Land Office Paving Project	\$ 20,000	01100	Dept of Natural Resources and Cons.	Forestry/Trust Lands Div.	Long-Range Building Prgm	Departments & Agencies
Improvements to the DNRC Clearwater Unit	\$ 30,000	02938	Dept of Natural Resources and Cons.	Forestry/Trust Lands Div.	Long-Range Building Prgm	Departments & Agencies
Pave Oil & Gas Parking Lot	\$ 60,000	02432	Dept of Natural Resources and Cons.	Oil & Gas Conservation Div.	Long-Range Building Prgm	DPHHS
Refinish Soffits and Fascias	\$ 25,000	01100	Dept of Public Health & Human Services	Addictive & Mental Disorders	Long-Range Building Prgm	DPHHS
Nurses Station at Montana State Hospital	\$ 36,000	01100	Dept of Public Health & Human Services	Addictive & Mental Disorders	Long-Range Building Prgm	DPHHS
Work at Montana Developmental Center	\$ 650,000	01100	Dept of Public Health & Human Services	Disability Services Division	Long-Range Building Prgm	DPHHS
Work at Montana State Hospital	\$ 1,205,000	01100	Dept of Public Health & Human Services	Addictive & Mental Disorders	Long-Range Building Prgm	DPHHS
Commodity Freezer	\$ 79,500	01100	Office of Public Instruction	Local Education Activities	Long-Range Building Prgm	Departments & Agencies
Carpet and linoleum replacement in the cottages	\$ 25,268	01100	School for the Deaf and Blind	General Services	Long-Range Building Prgm	Departments & Agencies
Broadband Data Grant	\$ 5,270,939	03397	Department of Commerce	Business Resources Div	Department of Administration	Info. Tech. Services Div.
Offender Placement at Opportunity Resources Inc.	\$ 20,000	01100	Department of Corrections	Adult Community Corrections	Dept of Public Health & Human Services	Disability Services Division
Fantasy Sports Game	\$ 300,000	02029	Department of Livestock	Centralized Services Program	Department of Administration	Montana State Lottery
Reliance Refinery Clean-Up	\$ 4,500,000	02472	Dept of Natural Resources and Cons	Forest/Trust Lands Div.	Dept of Environmental Quality	Remediation Division
Transfer Older Worker Program Authority	\$ 587,989	03799/03124	Dept of Public Health & Human Services	Senior and Long-Term Care	Department of Labor and Industry	Workforce Services Division
Personal Services Contingency	\$ 3,470	02426	Governor's Office	OBPP	Department of Livestock	Centralized Services Program
Personal Services Contingency	\$ 3,652	02426	Governor's Office	OBPP	Department of Livestock	Animal Health Program
Personal Services Contingency	\$ 5,287	01100	Governor's Office	OBPP	Department of Livestock	Meat/Poultry Inspection
Personal Services Contingency	\$ 7,397	02426	Governor's Office	OBPP	Department of Livestock	Diagnostic Lab Program
Personal Services Contingency	\$ 19,275	02426	Governor's Office	OBPP	Department of Livestock	Brands Enforcement Division
Personal Services Contingency	\$ 30,281	01100	Governor's Office	OBPP	Historical Society	Administrative Program
Personal Services Contingency	\$ 83,875	01100	Governor's Office	OBPP	Office of the Commissioner of Higher Ed.	Administrative Program
Personal Services Contingency	\$ 100,000	01100	Governor's Office	OBPP	Department of Justice	Highway Patrol Division
Personal Services Contingency	\$ 100,000	02689	Governor's Office	OBPP	Department of Corrections	Admin & Financial Services
Personal Services Contingency	\$ 101,000	02250	Governor's Office	OBPP	Office of the State Public Defender	Office of Public Defender
Personal Services Contingency	\$ 234,083	01100	Governor's Office	OBPP	Office of the Commissioner of Higher Ed.	Appropriation Distribution
Personal Services Contingency	\$ 250,000	02970	Governor's Office	OBPP	Department of Corrections	Youth Services
Personal Services Contingency	\$ 350,000	01100	Governor's Office	OBPP	Office of the State Public Defender	Office of Public Defender
Personal Services Contingency	\$ 500,000	02261	Governor's Office	OBPP	Department of Corrections	Adult Community Corrections

**MONTANA**  
*Department of Commerce*

Dore Schwinden, Director

301 S. Park Ave. ★ P.O. Box 200501 ★ Helena, Montana 59620-0501  
Phone 406-841-2700 ★ Fax 406-841-2701 ★ TDD: 406-841-2702 ★ <http://commerce.mt.gov>

July 19, 2011

Ms. Amy Carlson  
Legislative Fiscal Analyst & Director  
Legislative Fiscal Division  
P.O. Box 201711 (SENT VIA FAX and DEADHEAD MAIL)  
Helena, MT 59620-1711

Dear Amy:

HB 351 (Chapter 389, Laws 2011) requires the Department of Commerce to report the following findings to the Board of Investments, the Legislative Fiscal Division, and the Office of Budget and Program Planning on or before July 19, 2011:

**1) How much of the loan of \$6,512,000 authorized by subsection (6) of section 1, Chapter 458, Laws of 2009, was borrowed from the Board of Investments?**

As of July 15, 2011, no loan has been borrowed from the Board of Investments. The Department of Commerce has determined that a maximum loan of **\$2,625,000** will be required to fund the obligations to the projects identified in subsection (2) of section 1, Chapter 458, Laws of 2009.

**2) How many projects satisfied the conditions described in subsection (1) of section 3, Chapter 458, Laws of 2009?**

Fifty-nine of the 66 projects approved by the 2009 Legislature satisfied the start up conditions described in subsection (1) of section 3, Chapter 458, Laws of 2009 on or before June 30, 2011. An additional two projects have until December 31, 2012 to satisfy start up conditions; therefore, the Department remains obligated to fund those projects at this time.

**3) What is the amount of the loan authorization that will not be encumbered based on the inability of projects to satisfy the conditions?**

The amount of the loan authorization that will not be encumbered is **\$3,887,000**. This reduction is due to the failure of five 2007 and five 2009 biennium projects to meet start up conditions, as well as reductions in a number of 2009 and 2011

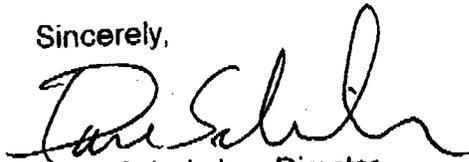
BRIAN SCHWEITZER, GOVERNOR

project awards for various reasons, including reduced project expenses, increased matching grant funds, and reductions in the scope of projects.

4) **What is the difference between the \$6,512,000 loan authorization and the amount of the loan that will not be encumbered?**

As of July 15, 2011, the Department will need to secure a total loan of **\$2,625,000** from the Board of Investments to fund the obligations to the projects identified in subsection (2) of section 1, Chapter 458, Laws of 2009. As of July 15, 2011, there is \$15,342,396 in STIP and cash in the TSEF account (almost \$2.5 million less than the interest earnings estimated for the 2011 biennium), with \$3,757,733 in HB 645 fund accruals, \$12,321,839 in interest earning accruals, and \$1,886,126 in unexpended project grant funds.

Sincerely,



Dore Schwinden, Director  
Department of Commerce

cc: Cathy Duncan, Legislative Fiscal Division

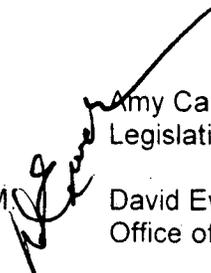
OFFICE OF THE GOVERNOR  
BUDGET AND PROGRAM PLANNING  
STATE OF MONTANA

BRIAN SCHWEITZER  
GOVERNOR



PO Box 200802  
HELENA, MONTANA 59620-0802

MEMORANDUM

TO:  Amy Carlson, Legislative Fiscal Analyst  
Legislative Fiscal Division

FROM: David Ewer, Director  
Office of Budget and Program Planning

DATE: September 15, 2011

SUBJECT: **Fee accounts with balances exceeding the biennium appropriations**

In accordance with 17-2-304, MCA, the report from the Office of Budget and Program Planning to the Legislative Finance Committee on fee accounts with balances exceeding the biennium appropriations is attached.

The attached sheet lists for all state special revenue "charge for services" funds which exceed the limits of section 17-2-302(1) and are not exempt under 17-2-302(4): the agency and account, the fiscal year end 2011 balance, the amount the balance exceeds the limitation, and any certified exception to the limitation as allowed under 17-2-302(2).

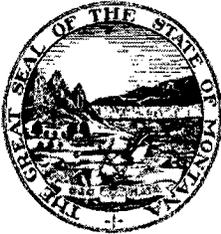
Please let me know if you have questions or wish additional information.

CC: Affected Agency Centralized Services Administrators

**Compliance with 17-2-302, MCA**

<u>Agency Name</u>	<u>Fund</u>	<u>Fund Name</u>	<u>Approp Authority</u>	<u>7/1/11 Balance</u>	<u>Excess</u>		<u>Certified Exception/Explanation</u>
					<u>Cash</u>	<u>Balance</u>	
Hist. Soc.	02045	Original Governor's Mansion	1,348	7,402	6,054		FY 2011 accounting change made to reflect funds collected. New appropriation authority may be requested next legislative session.
DLI	02078	Occupational Therapists	80,127	92,685	12,558		Currently implementing new software upgrade. If excess fund balance remains, a reduction in fees or refund will be considered.
DLI	02079	Fire Protection & Permitting	132,617	133,023	405		Currently negotiating MOU with State Fire Marshalls. Upon agreement, fund balance will be spent down on agreed upon obligations.
Dept. of Ag	02093	Alfalfa Leaf Cutting Bee	2,644	5,856	3,212		Program is saving to contribute to replacement of current testing equipment, which is more than 30 years old.
DPHHS	02159	Handicapped Telecommunications	1,638,990	2,500,467	861,477		\$1.85 million transferred in August, 2011 per HB 604, bringing fund well under reporting threshold.
DPHHS	02199	DHES Food & Consumer	1,616	16,586	14,970		Cash balance is committed to FY 11 payments for counties. Payments will be made during FY 12.
DLI	02315	DLI Info Exchange/Rental	151,448	158,724	7,276		BCD processed in August, 2011 to move state special authority in order to spend-down excess balance.
DLI	02831	Board Of Optometrists	60,833	67,546	6,713		Currently implementing new software upgrade. If excess fund balance remains, a reduction in fees or refund will be considered.
DLI	02833	Board Of Nursing	2,441,954	2,886,797	444,843		Board of Nursing is housing an IT project which is artificially inflating fund balance. Accounting change forthcoming to lower balance.
Corrections	02916	PHS-Canteen	6,914	8,316	1,402		Cash flow required to maintain business operations. Excess funds will likley be spent down going forward.
OCHS	02944	Motorcycle Safety Training	750,000	844,081	94,081		Balance is necessary to safeguard ongoing expenditures against downward fluctuations in motorcycle registrations.
Hist. Soc.	02986	Lewis & Clark License Plates	2,880	88,435	85,555		Funds must be used on projects related to Lewis and Clark. MHS continues to identify new projects to use funds as defined in statute.

DEPARTMENT OF ADMINISTRATION  
STATE ACCOUNTING DIVISION



BRIAN SCHWEITZER, GOVERNOR

MITCHELL BUILDING  
HELENA, MT 59620-0102

STATE OF MONTANA

Accounting Bureau	SABHRS Finance and Budget Bureau	Treasury Unit	Local Government
Rm. 255	Rm. 270	Rm. 261	Services Bureau
PO Box 200102	PO Box 200102	PO Box 200140	301 S. Park, Rm. 340
(406) 444-3092	(406) 444-5700	Helena, MT 59620-0140	PO Box 200547
		(406) 444-2624	Helena, MT 59620-0547
			(406) 841-2909

TO: Amy Carlson, Legislative Fiscal Analyst  
Office of the Legislative Fiscal Analyst

FROM: Paul Christofferson, Administrator

A handwritten signature in black ink that reads "Paul Christofferson".

DATE: September 6, 2011

SUBJECT: General Fund Encumbrance Extensions

In accordance with Section 17-1-102(4)(a), MCA, the Department of Administration has prepared the attached report regarding general fund encumbrances that have been extended into fiscal year 2011.

Please contact me at 4609 if you have any questions regarding this report.

Attachment

CC: David Ewer  
Janet R. Kelly  
Kris Wilkinson

AGENCY	Beg FY	PURPOSE	AMOUNT
Judiciary	09	SLA between ITSD and DOA	23,000.00
Environmental Quality	01	Pegasus Gold Bankruptcy Legal Representation	23,028.68
Environmental Quality	06	Snowcap Hydrology Watershed Modeling Contract	6,597.50
Environmental Quality	06	Hydmet Inc Watershed Modeling Contract	4,784.00
Environmental Quality	08	Public Drinking Water	8,486.14
Environmental Quality	09	FY09 TMDL Assistance Project Implementation Funds	18,775.40
Environmental Quality	09	FY09 TMDL Assistance Project Implementation Funds	24,122.80
Environmental Quality	09	DEQ indirect costs for Remedial Investigation at Upper Blockfoot Mining Complex	62,618.74
Environmental Quality	09	DEQ indirect costs for Remedial Investigation at Upper Blockfoot Mining Complex	267,573.72
Environmental Quality	09	DEQ indirect costs for Remedial Investigation at Upper Blockfoot Mining Complex	235,994.97
Environmental Quality	09	DOA ITSD for FileNet Services with Opencut Program	1,890.97
Environmental Quality	09	FY09 Non Point Source, TMDL & BLM Grants	8,535.18
Environmental Quality	10	FY10 Non Point Source Sec 319 Water Proj Grant	10,509.20
Environmental Quality	10	FY10 Non Point Source Sec 319 Water Proj Grant	1,747.19
Environmental Quality	10	FY10 Non Point Source Sec 319 Water Proj Grant	43,078.88
Environmental Quality	10	FY10 Non Point Source Sec 319 Water Proj Grant	38,972.96
Environmental Quality	10	FY10 Non Point Source Sec 319 Water Proj Grant	29,952.00
Environmental Quality	10	FY10 Non Point Source Sec 319 Water Proj Grant	26,060.32
Natural Resources	05	Garfield County Conservation District	5,717.12
Natural Resources	07	Liberty County Coal Severance Tax Shared SSR	2,614.05
Natural Resources	08	Mt Department of Corrections	134,000.00
Natural Resources	08	Liberty County Conservation District	4,714.25
Natural Resources	08	Houston Engineering Inc	2,195.51
Natural Resources	09	Mt Department of Corrections	82,700.00
Natural Resources	09	US Bureau of Reclamation	15,763.05
Natural Resources	09	Peter Marchi	5,152.50
Natural Resources	09	Custer County Conservation District	4,896.00
Natural Resources	09	Custer County Conservation District	1,424.41
Natural Resources	10	USGS DEPARTMENT OF THE INTERIOR	7,680.00
Natural Resources	10	PETROLEUM COUNTY CONSERVATION DISTRICT	464.74
Natural Resources	10	GARFIELD COUNTY CONSERVATION DISTRICT	1,539.12
Natural Resources	10	PETROLEUM COUNTY CONSERVATION DISTRICT	46.78
Natural Resources	10	RICHLAND COUNTY CONSERVATION DISTRICT	1,536.70
Natural Resources	10	PONDERA COUNTY CONSERVATION DISTRICT	1,210.00
Natural Resources	10	LEWIS & CLARK CONSERVATION DIST	660.00
Natural Resources	10	HILL COUNTY CONSERVATION DISTRICT	1,149.00
Natural Resources	10	LITTLE BEAVER CONSERVATION DISTRICT	3,300.00
Natural Resources	10	PETROLEUM COUNTY CONSERVATION DISTRICT	3,300.00
Natural Resources	10	RUBY VALLEY CONSERVATION DISTRICT	650.00
Natural Resources	10	PARK COUNTY CONSERVATION DISTRICT	4,707.44
Natural Resources	10	BEAVERHEAD CONSERVATION DISTRICT	1,297.54
Natural Resources	10	CASCADE COUNTY CONSERVATION DISTRICT	1,760.00
Natural Resources	10	NATIONAL ASSOCIATE OF STATE CONSERVATION AGENCIES INC	5,000.00
Natural Resources	10	USGS DEPARTMENT OF THE INTERIOR	10,500.00
Natural Resources	10	COMMUNICATION & MANAGEMENT SERVICES LLC	8,277.50
Natural Resources	10	GATEWAY SOLUTIONS INC	2,569.55
Natural Resources	10	MONTANA WATERCOURSE	16,080.00
Revenue	10	Tyler Technologies contract - Property Assessment Division computer system	227,040.00
Revenue	10	AMDEC - Butenko contract	18,576.00
Revenue	10	AMDEC - Huntley contract	17,856.00
Revenue	10	GlouDEMans contract - reappraisal data verification	27,863.66
Revenue	10	DOA - ITSD contract - conferencing expenses	66,296.96
Architecture & Engineering	09	Cascade Custom Software K-12 FCA Phase I	19,067.50
Architecture & Engineering	09	Tekton contract for re-roof of RYCF buildings	56,290.67
Architecture & Engineering	09	Con-er Engineering contract for EEVH Energy Improves	1,000.00
Agriculture	09	Wildwood Brewing	50,000.00
Agriculture	10	County Weed Grant-MSU	20,430.61
Agriculture	10	County Weed Grant-Sweet Grass Conservation District	14,694.67
Agriculture	10	County Weed Grant-Cascade	3,210.00
Commerce	09	Workforce Training Grants	845,400.31
Commerce	09	Workforce Training Grants	964,141.87
Commerce	10	Workforce Training Grants	1,312,619.20
Public Health & Human Service	10	Continuation of Configuration of Kronos System	65,875.00
			<u>4,876,996.36</u>

DEPARTMENT OF ADMINISTRATION  
STATE ACCOUNTING DIVISION



BRIAN SCHWEITZER, GOVERNOR

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HELENA, MT 59620-0102

STATE OF MONTANA

Accounting Bureau Rm. 255 PO Box 200102 (406) 444-3092	SABHRS Finance and Budget Bureau Rm. 270 PO Box 200102 (406) 444-5700	Treasury Unit Rm. 261 PO Box 200140 Helena, MT 59620-0140 (406) 444-2624	Local Government Services Bureau 301 S. Park, Rm. 340 PO Box 200547 Helena, MT 59620-0547 (406) 841-2909
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TO: Amy Carlson, Legislative Fiscal Analyst  
Office of the Legislative Fiscal Analyst

FROM: Paul Christofferson, Administrator

DATE: September 6, 2011

SUBJECT: Inter-entity Loan Report

A handwritten signature in cursive script, appearing to read "Paul Christofferson".

In accordance with Section 17-2-107, MCA, the Department of Administration has prepared the attached report regarding inter-entity loans.

Please contact me at 4609 if you have any questions regarding this report.

Attachment

CC: David Ewer  
Janet Kelly  
Kris Wilkinson

**DEPARTMENT OF ADMINISTRATION  
STATE ACCOUNTING DIVISION**



BRIAN SCHWEITZER, GOVERNOR

MITCHELL BUILDING  
HELENA, MT 59620-0102

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TO: Legislative Finance Committee

FROM: Paul Christofferson, Administrator

DATE: September 1, 2011

SUBJECT: Inter-entity Loan Report

Section 17-2-107, MCA, requires the Department of Administration to report to the Legislative Finance Committee loans authorized to the same accounting entity (A/E) for two consecutive fiscal year-ends. This report discloses such inter-entity loans through Fiscal Year End (FYE) 2011, excluding those of the University System, which are approved by the Commissioner of Higher Education or the Board of Regents. This report also discloses all long term advances, regardless of the number of years outstanding.

LONG-TERM LOANS GRANTED BY THE DEPARTMENT OF ADMINISTRATION

Section 17-2-107(8), MCA, allows the Department of Administration to authorize loans to Accounting Entities (A/Es) with long-term repayment whenever necessary due to the timing of the receipt of agreed upon reimbursements from federal, private or other governmental entity sources for disbursements made. The Department of Administration may approve these long-term loans (or advances) if the agency can demonstrate that the total loan balance does not exceed total receivables from federal, private or other governmental entities and receivables have been billed on a timely basis. Advances from the general fund to other A/Es generally require the reporting of an offsetting non-spendable fund balance in the general fund. This offsetting non-spendable fund balance for long term loans will not be reported in other funds. At FYE 2011, the Department of Administration had authorized long term advances to the following agencies and A/Es:

<u>Agency</u>	<u>A/E Name</u>	<u>A/E #</u>	<u>FYE 2011 Loan Bal.</u>
Justice	Dept Of Justice-Misc Grants	03801	\$ 300,000
PSC	Natural Gas Safety Program	03011	33,000
FWP	Fish Wildlife Restor Grant	03097	50,000
FWP	Fish and Game	03403	400,000
FWP	DFWP Aircraft	06540	300,000
Transportation	Aeronautics Division	02827	161,626
Livestock	Bison Trap Funds	03427	282,600
DNRC	Misc State Special Revenue	02104	75,000
DNRC	Yellowstone Groundwater NPS	03034	9,000
DNRC	Non-Consolidated Grant FY11	03038	200,000
DNRC	Consolidated Grant FY11	03039	500,000
DNRC	Consolidated Grant FY10	03049	55,000
DNRC	Non-Consolidated Grant FY10	03050	175,000
DNRC	FEMA Federal Grants	03094	300,000
DNRC	Non-Consolidated Grant-FY07	03142	5,000
DNRC	Consolidated Grant - FY08	03264	11,000
DNRC	Non-Consolidated Grant - FY08	03265	6,000
DNRC	Consolidated Grant - FY09	03283	14,000

<u>Agency</u>	<u>A/E Name</u>	<u>A/E #</u>	<u>FYE 2011 Loan Bal.</u>
DNRC	Non-Consolidated Grant FY 09	03284	5,000
DNRC	Water Resources Federal	03308	9,000
DNRC	Oil & Gas Federal	03356	65,000
DNRC	Trust Land Division - Federal	03971	10,000
Revenue	Royalty Audit - NRCT	03928	75,000
Agriculture	Agriculture ASD Federal	03118	175,000
Commerce	SBA JOBS Grant	03180(1)	80,000
Commerce	Small Business Dev. Centers	03207(1)	60,000
Commerce	Congressional Earmark - MTDOC	03405(1)	110,000
Military Affairs	National Guard	03132(1)	\$ 1,000,000

LOANS AUTHORIZED FOR TWO CONSECUTIVE FISCAL YEAR-ENDS

In addition to long term loans, the Department of Administration has authorized short term loans for two consecutive fiscal year-ends to the following agencies and A/Es:

<u>Agency</u>	<u>A/E Name</u>	<u>A/E #</u>	<u>FYE 2011 Loan Bal.</u>
Sec. of State	Civic Outreach	03069	\$ 3,000
OPI	Guarantee Fund	02018(2)	2,100,000
OPI	Public Instruction	03002(2)	135,000
Justice	Misc Subgrants	02118(2)	8,200
CHE	University Millage	02443(2)	127,000
CHE	GEAR UP Federal School 1999	03411	1,500
Historical Soc.	Historic Sites Preservation	03021	67,100
FWP	Private Contracts & Grants	02051	350,000
FWP	Drawing Clearance Account	02416	670,000
FWP	Fish Wildlife Restor Grant	03097	500,000
FWP	Parks Federal Revenue	03098(3)	70,000
FWP	USFWS Section 6	03129	8,000
FWP	Misc Federal Funds	03403	80,000
FWP	State Wildlife Grants	03408	140,000
FWP	F & G Warehouse Inventory	06503(3)	62,000
FWP	Wildlife Mitigation Trust	08021	4,000
DEQ	DNRC Grants - ReMediation	02314	13,120
DEQ	Zortman/Landusky L Term H2O	02540	311
DEQ	DOE SEP Stimulus	03048	180,400
DEQ	Energy Effec&Consv Block Grt	03101	4,690
DEQ	ARRA Energy Assurance Plan	03231	380
DEQ	MT Wind Power GO48023	03311	5
DEQ	EPA 103 PM 2.5	03325	7,488
DEQ	DOE Washington State Univ	03465	21,100
DEQ	Counter Terrorism STAG	03672	370
DEQ	DOI OSM A&E Grant	03816	20,247
DEQ	Z/L Long Term H2O Trust Fund	09005	264
MDT	MDT ARRA Activity FHWA	03023	2,431,307
MDT	MDT ARRA Activity FTA	03087	362,344
MDT	FTA Grants	03147	479,078
MDT	MCS Federal Grants	03292	304,046
MDT	Highway Trust	03407	53,390,596
MDT	Traffic Safety	03828	1,604,215
DOA	CMIA Funds	03320(2)	1,777
DOA	General Stabilization ARRA	03487(2)	4,383
A&E	Misc A&E Federal Grants	03696(2)	10,457
Corrections	MVS-School Foods	03084(2)	1,630
Corrections	PHS-School Foods	03089(2)	9,641
Corrections	Misc Federal Grants	03315(2)	35,305
Commerce	OJP Offender Tracking Grant	03054(2)	18,333

<u>Agency</u>	<u>A/E Name</u>	<u>A/E #</u>	<u>FYE 2011 Loan Bal.</u>
Commerce	Shelter Plus Care-HUD	03144(2)	2,000
Commerce	Section 8 FSS Escrow Accts	03324(2)	1,105
Commerce	Congressional Earmark - MTDOC	03405(2)	50,000
Commerce	DOE Wind Power America Grant	03940(2)	1,500
L&I	Contracts for DPHHS	02242	64,596
L&I	Elevator Licensing Program	02767	181,500
L&I	Private Investigator	02829	38,100
L&I	L & I Federal Funding	03128	2,500
L&I	WSD Green Jobs Grant	03156	63,900
L&I	Country of Origin Labeling	03293	700
L&I	UI Administrative Grants	03954	121,579
MIL AFFAIRS	National Guard	03132(2)	497,721
MIL AFFAIRS	Disaster & Emergency Services	03134(2)	218,430
MIL AFFAIRS	Long Range Building	03244(2)	7,455
MIL AFFAIRS	Air National Guard	03453(2)	192,833
DPHHS	Montana Dev. Ctr. Vocational	02035(2)	30,850
DPHHS	Medicaid Third Party Revenue	02142(2)	1,475,000
DPHHS	Highway Safety EMS Contracts	02186(2)	3,797
DPHHS	MDC Bond Repayment Revenue	02310(2)	23,943
DPHHS	Public Health Laboratory	02366(2)	164,739
DPHHS	Indirect Activity Program 3	02376(2)	2,165
DPHHS	02 Indirect Activity Prog 09	02381(2)	52,905
DPHHS	Vital Statistics	02419(2)	110,652
DPHHS	Family Drug Court	02561(2)	41,036
DPHHS	Save Old Main Bldg Rev.	02696(2)	300,000
DPHHS	Tobacco Hlth and Medicaid Ini	02772(2)	600,000
DPHHS	PH Workforce Development	03020(2)	17,005
DPHHS	WIC (Women, Infants & Children)	03027(2)	11,362
DPHHS	Maternal & Child Health	03031(2)	17,426
DPHHS	Newborn Hearing Screening	03057(2)	1,524
DPHHS	69010-CNS-Grants-VISTA	03072(2)	113
DPHHS	TANF Administration	03103(2)	217,264
DPHHS	MT Diabetes	03105(2)	9,203
DPHHS	Vets-V.A. Reimb	03112(2)	520,000
DPHHS	Homeless Prev Rapid Rehouse	03113(2)	21,493
DPHHS	HOPWA TriState Help	03135(2)	13,428
DPHHS	10.557 WIC BF Peer Counseling	03146(2)	310
DPHHS	WIC Infrastructure	03150(2)	19,514
DPHHS	Tuberculosis Grant	03159(2)	699
DPHHS	Data Infastructure Developmnt	03171(2)	121
DPHHS	Wellness Chrnc Dis ARRA	03219(2)	1,839
DPHHS	Alt to Psych Treat Fac	03237(2)	13,958
DPHHS	Ryan White Act, Title II	03274(2)	4,536
DPHHS	Adult Viral Hepatitis Prevent	03275(2)	1,904
DPHHS	03 Indirect Activity Prog 12	03305(2)	200,000
DPHHS	Energy Conservation: Stripper	03323(2)	5,665
DPHHS	CCDF ARRA Discretionary	03329(2)	208,555
DPHHS	Food Inspection Program	03336(2)	8,600
DPHHS	Data Integration	03362(2)	586
DPHHS	03 Indirect Activity Prog 01	03365(2)	25,000
DPHHS	E Coli Surveillance	03370(2)	89,338
DPHHS	Voc Rehab ARRA	03378(2)	410,000
DPHHS	03 Indirect Activity Prog 02	03382(2)	750,000
DPHHS	Indv Disab Education Act ARRA	03387(2)	591
DPHHS	Colorectal Cancer Screening	03392(2)	560
DPHHS	Public Health Emergency Resp	03393(2)	612
DPHHS	IZ Reim to PHD Clinics ARRA	03394(2)	1,897
DPHHS	Healthy Communities	03399(2)	480
DPHHS	Addressing Asthma	03402(2)	3,053

<u>Agency</u>	<u>A/E Name</u>	<u>A/E #</u>	<u>FYE 2011 Loan Bal.</u>
DPHHS	69010 Comprehnsv Cancer Cntrl	03979(2)	2,111
DPHHS	R.W. Johnson Nat. Vol. Assoc	08128(2)	\$ 14,010

(1) - Long term loan from the General fund with a corresponding non-spendable fund balance.

(2) - Short term loan from the General Fund.

(3) - Short term loan extended for more than one year.

The loans listed above are generally required to provide cash to support operations pending the receipt of reimbursement from the federal government, other governmental entities or private organizations. An agency may request, and the Department may approve, the extension of a loan for a second year. Two of the Department of Fish, Wildlife and Parks loans were extended for more than one year.

#### LOANS PAST DUE

No loans were past due as of fiscal FYE 2011.

#### FYE 2011 INTER-ENTITY LOAN SUMMARY

Loans outstanding for a second year at FYE 2011 included 291 short-term inter-entity loans valued at \$111,688,750,175. Of these, 175, valued at \$46,060,246, were from the general fund. The value of total loans and advances outstanding at FYE 2011 was \$116,154,976, of which \$47,310,246 was from the general fund.

<u>Agency</u>	<u>A/E Name</u>	<u>A/E #</u>	<u>FYE 2011 Loan Bal.</u>
DPHHS	Early Hearing Deficit Intrv	03420(2)	938
DPHHS	Early Childhood Comp Sys	03448(2)	18,014
DPHHS	69010-CDP for BRFS	03451(2)	131
DPHHS	69010-AOA Aging One-Stop Shop	03456(2)	1,463
DPHHS	6901 - Chafee - ETV 93.599	03458(2)	745
DPHHS	64.014 - Vets St. Domic Carel	03501(2)	22,679
DPHHS	93.150 - Mntal Hlth-Homeless	03505(2)	736
DPHHS	93.958 - Mntal Hlth - Blk Grt	03507(2)	2,533
DPHHS	Heart Disease & Stroke Pgm	03510(2)	25,490
DPHHS	93.045 - Aging Meals 100%	03519(2)	5,510
DPHHS	93.556 - Family Preservation	03522(2)	22,790
DPHHS	93.643 - Child Justice	03526(2)	6,045
DPHHS	93.645 - IV-B CWS	03527(2)	5,274
DPHHS	Foster Care Program 93.658	03530(2)	870,000
DPHHS	6901-Subsidized Adopt 93.659	03531(2)	380,000
DPHHS	93.669 - Child Abuse	03532(2)	7,677
DPHHS	93.671 - Domestic Violence	03533(2)	566
DPHHS	93.672 -Child Abuse Challenge	03534(2)	6,080
DPHHS	93.779 - Hlth Info Counseling	03537(2)	811
DPHHS	10.561 - FS E & T - 50%	03544(2)	5,132
DPHHS	10.561 - FS E & T - 100%	03545(2)	9,053
DPHHS	10.561 - FS Adm - Fed Exp 50%	03546(2)	133,748
DPHHS	81.042 - Weather Ben 100%	03552(2)	460,000
DPHHS	84.169 -Independent Living 90	03554(2)	111,003
DPHHS	84.177-Indep Living Old BLIN	03555(2)	5,667
DPHHS	Community Living	03563(2)	466
DPHHS	93.560 AFDC Ben FMAP	03566(2)	19,506
DPHHS	93.563 - Child Support IVD66%	03570(2)	148,894
DPHHS	93.566 - Off Ref Reset Adm 10	03571(2)	55
DPHHS	LIEAP Block Grant Admin	03572(2)	236,003
DPHHS	Comm. Services Block Grant	03573(2)	88,216
DPHHS	Medical Admin	03580(2)	1,900,000
DPHHS	Medical Admin	03582(2)	960,000
DPHHS	Medical Benefit FMAP	03583(2)	21,550,000
DPHHS	93.802-Disabil Deter Adm 100	03588(2)	27,540
DPHHS	School Lunch MDC	03591(2)	250,000
DPHHS	03 Indirect Activity Prog 03	03593(2)	250,000
DPHHS	03 Indirect Activity Prog 07	03596(2)	75,000
DPHHS	03 Indirect Activity Prog 08	03597(2)	75,000
DPHHS	03 Indirect Activity Prog 09	03598(2)	750,000
DPHHS	03 Indirect Activity Prog 10	03599(2)	200,000
DPHHS	03 Indirect Activity Prog 33	03601(2)	30,000
DPHHS	03 Indirect Activity Prog 11	03611(2)	25,000
DPHHS	Aging - Caregiver III-E	03666(2)	754
DPHHS	6901-HOPWA CFDA#14-241	03679(2)	16,303
DPHHS	6901-Bioter Hosp Preparedness	03689(2)	955
DPHHS	6901-Rape Prev & Educ 93.126	03690(2)	454
DPHHS	6901-Rural Access Emer Device	03709(2)	235
DPHHS	Breast & Cervical Cancer	03711(2)	1,564
DPHHS	6901-Cancer Registries 93.283	03712(2)	2,275
DPHHS	6901-WIC Farmer Market 10.572	03713(2)	754
DPHHS	MT Disability & Health	03788(2)	791
DPHHS	IZ Registry ARRA	03931(2)	486
DPHHS	Title 18	03935(2)	56,059
DPHHS	Aids Fed. Cat. #13.118	03938(2)	31,647
DPHHS	WIC EBT Planning Grant ARRA	03941(2)	661
DPHHS	T-19 OBRA Nurse Aid	03948(2)	1,454
DPHHS	Adoption Incentive Funds	03964(2)	11

DEPARTMENT OF ADMINISTRATION  
STATE ACCOUNTING DIVISION



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HELENA, MT 59620-0102

STATE OF MONTANA

Accounting Bureau Rm. 255 PO Box 200102 (406) 444-3092	SABHRS Finance and Budget Bureau Rm. 270 PO Box 200102 (406) 444-5700	Treasury Unit Rm. 261 PO Box 200140 Helena, MT 59620-0140 (406) 444-2624	Local Government Services Bureau 301 S. Park, Rm. 340 PO Box 200547 Helena, MT 59620-0547 (406) 841-2909
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TO: Amy Carlson, Legislative Fiscal Analyst  
Office of the Legislative Fiscal Analyst

FROM: Paul Christofferson, Administrator

A handwritten signature in cursive script that reads "Paul Christofferson".

DATE: September 6, 2011

SUBJECT: Non General Fund Encumbrance Extensions

In accordance with Section 17-1-102(4)(b), MCA, the Department of Administration has prepared the attached report regarding non general fund encumbrances that, as of fiscal year end 2011, have been extended for at least a third time.

Please contact me at 4609 if you have any questions regarding this report.

Attachment

RECEIVED  
SEP 07 2011  
LEGISLATIVE  
FISCAL ANALYST

CC: David Ewer  
Janet R. Kelly  
Kris Wilkinson

Non-General Fund Encumbrances Extended at Least Three Times  
As of Fiscal Year End 2011

AGENCY	Beg FY	PURPOSE	AMOUNT
Justice	08	CJIN Upgrade	64,924.00
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	23,891.70
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	4,804.76
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	49,497.09
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	11,747.24
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	47,289.26
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	21,099.64
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	39,022.58
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	48,325.63
Environmental Quality	07	FY07 Non Point Source Sec 319 Water Proj Grant	9,087.50
Environmental Quality	07	FY07 Non Point Source Sec 319 Water Proj Grant	25,800.00
Environmental Quality	07	FY07 Non Point Source Sec 319 Water Proj Grant	84,473.25
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	10,371.14
Environmental Quality	08	FY08 Non Point Source Sec 319 Water Proj Grant	2,540.07
Natural Resources	05	Garfield County Conservation District	2,389.17
Natural Resources	08	Dawson County Treasurer	5,000.00
Natural Resources	08	Stillwater County Treasurer	5,000.00
Natural Resources	08	Yellowstone County Treasurer	5,000.00
Natural Resources	08	MT Department of DNRC	7,462.90
Natural Resources	08	Butte Silver Bow County Treasurer	249,328.07
Natural Resources	08	Powell County Treasurer	168,937.85
Natural Resources	08	MT DEQ	300,000.00
Natural Resources	08	Seeley Lake Sewer District	9,604.37
Natural Resources	08	Savage Irrigation District	37,620.94
Natural Resources	08	Glasgow Irrigation District	40,334.16
Natural Resources	08	Beaverhead County Conservation District	75,156.69
Natural Resources	08	Liberty County Coal Severance Tax Shared SSR	367.39
Natural Resources	08	MT Department of Administration	14,291.89
Natural Resources	08	Northwest Management, Inc.	1,609.60
Administration	08	LRBP & A&E Support Project	37,112.80
Commerce	03	TSEP Bridges and Water/Sewer Projects	13,910.29
Commerce	03	TSEP Bridges and Water/Sewer Projects	5,118.22
Commerce	03	TSEP Bridges and Water/Sewer Projects	10,000.00
Commerce	03	TSEP Bridges and Water/Sewer Projects	120,320.31
Commerce	03	TSEP Bridges and Water/Sewer Projects	17,541.04
Commerce	03	TSEP Bridges and Water/Sewer Projects	48,944.07
Commerce	07	TSEP Bridges and Water/Sewer Projects	10,000.00
Commerce	03	TSEP Bridges and Water/Sewer Projects	8,060.00
Commerce	07	TSEP Bridges and Water/Sewer Projects	99,880.00
Commerce	03	TSEP Bridges and Water/Sewer Projects	84,626.88
Commerce	08	CDBG Water/Sewer and Housing Projects	7,252.23
Commerce	08	CDBG Water/Sewer and Housing Projects	1,000.00
Commerce	08	CDBG Water/Sewer and Housing Projects	2,349.33
Commerce	07	CDBG Water/Sewer and Housing Projects	14,921.12
Commerce	07	CDBG Water/Sewer and Housing Projects	17,414.20
Commerce	08	CDBG Water/Sewer and Housing Projects	142.84
Commerce	08	CDBG Water/Sewer and Housing Projects	42,169.60
Commerce	08	CDBG Water/Sewer and Housing Projects	12,475.00
Commerce	08	CDBG Water/Sewer and Housing Projects	500.00
Commerce	08	CDBG Water/Sewer and Housing Projects	822.00
Commerce	08	CDBG Water/Sewer and Housing Projects	15,000.00
Commerce	08	CDBG Water/Sewer and Housing Projects	9,339.85
Commerce	08	CDBG Water/Sewer and Housing Projects	24,671.12
Commerce	08	CDBG Water/Sewer and Housing Projects	500.00
Commerce	07	CDBG Water/Sewer and Housing Projects	5,360.00
Commerce	07	CDBG Water/Sewer and Housing Projects	7,500.00
Commerce	08	CDBG Water/Sewer and Housing Projects	277,682.57
Commerce	07	CDBG Water/Sewer and Housing Projects	54,788.10
Commerce	06	CDBG Water/Sewer and Housing Projects	500,000.00
Commerce	06	CDBG Water/Sewer and Housing Projects	123,048.41
Commerce	06	CDBG Water/Sewer and Housing Projects	53,555.26
Commerce	07	HOME Housing	1,586.00
Commerce	07	HOME Housing	56,886.25
Commerce	07	HOME Housing	4,000.00
Public Health & Human Service	09	Continuation of Configuration of Kronos System	54,078.00
			<u>3,107,532.38</u>

DEPARTMENT OF ADMINISTRATION  
STATE ACCOUNTING DIVISION



BRIAN SCHWEITZER, GOVERNOR

MITCHELL BUILDING  
HELENA, MT 59620-0102

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TO: Amy Carlson, Legislative Fiscal Analyst  
Legislative Fiscal Division

FROM: Paul Christofferson, Administrator 

DATE: September 6, 2011

SUBJECT: Enterprise and Internal Service Fund Report

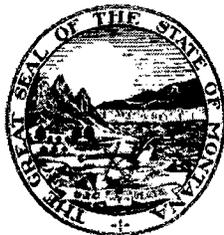
In accordance with 17-8-101(7), MCA, the Department of Administration has prepared the attached report regarding establishment of new enterprise and internal service fund accounts. As required, we are listing the new funds established in Fiscal Year 2011.

If you have any questions about the report, please call me at extension 4609.

Attachment

CC: David Ewer  
Janet Kelly  
Kris Wilkinson

DEPARTMENT OF ADMINISTRATION  
STATE ACCOUNTING DIVISION



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TO: Members of the Legislative Finance Committee

FROM: Paul Christofferson, Administrator 

DATE: September 6, 2011

SUBJECT: 2011 Enterprise and Internal Service Fund Report

Section 17-8-101(7), MCA, requires the Department of Administration to report annually to the Legislative Finance Committee and the Office of Budget and Program Planning on the nature, status and justification of all new accounts in the enterprise or internal service fund types.

During Fiscal Year 2011 the following new enterprise and internal service funds were established:

- An enterprise account, 06096, Stay at Work/Return to Work, was established for the Department of Labor and Industry to pay for stay-at-work/return-to-work assistance provided by the Department so assistance may be provided as early as practical in the workers compensation claims process. The assistance fund will be maintained by assessing employers insured by plans No.1, Plan No. 2 and Plan No. 3.
- An enterprise account, 06013, SHPO Enterprise Fund, was established for the Montana Historical Society State Historic Preservation Office (SHPO). This fund will allow SHPO to account for file search fees designed to recover increasing costs for updates and maintenance to the two historical databases containing cultural resource, archaeological, paleontological, and historic property inventories and related legal descriptions.
- An enterprise fund, 06024, BSD Accela, was established for the Department of Labor and Industry business Standards Division (BSD). This fund will allow the division to account for ongoing maintenance, server hosting, and other ongoing costs associated with providing access to the Accela software to external parties in addition to the associated revenue recovered from them.

Although these funds were established during fiscal year 2011, some will not have activity until fiscal year 2012.

CC: David Ewer  
Janet Kelly  
Kris Wilkinson