



MONTANA LEGISLATIVE BRANCH

Legislative Fiscal Division

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Legislative Fiscal Analyst
CLAYTON SCHENCK

Date: June 1, 2010

To: Legislative Finance Committee

From: Jon Moe
Fiscal Specialist

Subject: Required Reports for June 2010 LFC Meeting

The legislature (through statute, language in bills, or committee action) requires certain reports to be submitted to the Legislative Finance Committee (LFC) and the Legislative Fiscal Division (LFD). State agencies and other entities have been requested to provide information on various subjects of interest to facilitate the decision-making process.

The reports fit into two categories: 1) reports required to be submitted to the LFC are generally to monitor compliance or progress with legislative policy/initiatives; and 2) reports required to be submitted to the LFD are primarily to provide data relevant for staff analysis of budget issues for the next legislative session. The attached report lists the required reports identified and the occurrences of the reports received or expected to be received during the 2011 biennium. Six reports have been received since the last LFC meeting (March 2010). None are attached under this cover for your review. Three months of Medicaid cost and budget status reports (item 24), are not copied for the committee because of its size but rather are reviewed by division staff on behalf of the committee. Two reports (items 1 and 4) are being discussed under agenda topics for the meeting. One report (item 28) indicated no change from the previous report.

Generally, this summary report on "required reports" is provided to the committee simply for information purposes. Although it is on the LFC meeting agenda, there are typically no oral reports provided unless there is an issue identified by the staff that needs to be brought to the committee's attention. If a committee member has a question, he or she can contact the indicated staff member directly or raise the question in the meeting.

If you have questions, please feel free to contact Jon Moe by e-mail at jonmoe@mt.gov or by telephone at 406-444-2986.

Required Reports List for 2010-11 Biennium

Prepared by the Legislative Fiscal Division

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|----------|---|---------------------------|---------------------|-----------------|------------------|----------------|
| 1 | House Bill 10 | DPHHS | Upon Occur. | LFC | Wilkinson | Daumiller |
| | Short Description: Report on changes to appropriations and authority related to the IT projects in HB 4 of 2007 May special session (section 3, Chapter 3, Special Laws of May 2007 [subsection 3] and in section 2 of HB 10 [subsection 3] of 2009 session) | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2010 | DPHHS | | 9/21/2009 | On file |
| | | 2010 | DPHHS | | 11/25/2009 | On file |
| | | 2010 | DPHHS | | 6/1/2010 | On file |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 2 | 61-2-109 | Transportation | Nov 1 of even year | LFC | DeWitt | |
| | Short Description: Report summarizing emergency medical services grants requested, awarded, and use of funds, by November 1 preceeding regular session of legislature. Session Laws 2009, Chapter 486, section 25, codified in 61-2-109, MCA. | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2011 | | 11/1/2010 | | Not due yet |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 3 | 2-17-101(1) | Administration | Sept 1 of even year | LFC | DeWitt | |
| | Short Description: Identify the amount, location, and nature of space used by each agency, including summary of info on aaverage cost per square foot for each municipality. | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2011 | | 9/1/2010 | | Not due yet |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|----------|--|------------------|-------------|-----|----------------|----------------|
| 4 | 2-17-515 | Administration | Upon Occur. | LFC | DeWitt | |
| | Short Description: Exceptions to information technology policy, standard, or other requirement. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|-------------------------------|---------------------|-----------------|------------------|------------------------------|
| | 2010 | Administration | | 9/24/2009 | Reported at 9/24 LFC meeting |
| | 2010 | Administration | | 12/4/2009 | Reported at 12/4 LFC meeting |
| | 2010 | Administration | | 3/4/2010 | Reported at 3/4 LFC meeting |
| | 2010 | Administration | | 5/24/2010 | Item for 6/15 LFC meeting |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|----------|--|------------------|----------------|-----|----------------|----------------|
| 5 | 2-17-522 | Administration | March, Even Yr | LFC | DeWitt | |
| | Short Description: Updates to state strategic information technology plan. It is to be provided to the LFC at its first meeting following March 1st of even year. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|-------------------------------|---------------------|-----------------|------------------|---------------------------|
| | 2010 | | 3/5/2010 | 1/5/2010 | Available on ITSD website |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|----------|--|------------------|-----------|-----|----------------|----------------|
| 6 | 17-1-102(4) | Administration | FY End | LFC | DeWitt | |
| | Short Description: Reports on general fund/non-general fund encumbrances remaining at fiscal year-end. After 3 years, approved extensions must be reported. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|-------------------------------|---------------------|-----------------|------------------|---|
| | 2010 | Administration | 9/15/2009 | 8/31/2009 | On file - GF encumbrance extensions |
| | 2010 | Administration | 9/15/2009 | 8/31/2009 | On file - Non-GF encumbrance extensions |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|--|------------------------|---------------------------|---------------------|-----------------|-----------------------------|
| 7 | 17-1-505(2) | Agencies | Upon Occur. | LFD | Moe | |
| | Short Description: Report on the identification of the use of a dedicated revenue provision that does not satisfy the criteria established in statute. The Legislative Fiscal Analyst will report these to the LFC no later than Oct. 31 of the year preceding a regular session. | | | | | |
| | Reports Scheduled / Rec'd: | | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> |
| | | | 2010 | | | <u>Comment</u> |
| | | | | | | None reported |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 8 | 17-2-107(5) | CHE/Administration | Annual | LFC | Joehler | DeWitt |
| | Short Description: Loans or loan extensions authorized for two consecutive fiscal years to same accounting entity - due 9/1 of the next year. | | | | | |
| | Reports Scheduled / Rec'd: | | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> |
| | | | 2010 | Administration | 9/1/2009 | 8/31/2009 |
| | | | 2010 | CHE | 9/1/2009 | 9/3/2009 |
| | | | | | | <u>Comment</u> |
| | | | | | | On file |
| | | | | | | On file |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 9 | 17-2-107(6) | Commissioner Higher Ed | Annual | LFC | Joehler | |
| | Short Description: Negative cash balances for any two consecutive fiscal years - due 9/1 of the next year. | | | | | |
| | Reports Scheduled / Rec'd: | | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> |
| | | | 2010 | CHE | 9/1/2009 | 9/14/2009 |
| | | | | | | <u>Comment</u> |
| | | | | | | None to report |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 10 | 17-2-111 | Administration | Feb. 1, 2010 | LFC | Moe | |
| | Short Description: Information of state special revenue accounts if requested by the LFC. (Last was December 2007 to the Department of Administration requesting certain information on state special revenue accounts established or modified since July 1, 2002.) | | | | | |
| | Reports Scheduled / Rec'd: | | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> |
| | | | 2010 | | | <u>Comment</u> |
| | | | | | | None requested at this time |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|--|---------------------------|---------------------|-----------------|------------------|--|
| 11 | 17-2-304(1) | Approving Authority | Sept 15 | LFC | Purdy | |
| | Short Description: Information concerning accounts with cash balances in excess of statutory limits; certification of statutory exceptions. | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2010 | OBPP | 9/15/2009 | 11/2/2009 | On file |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 12 | 17-5-820(3) | Commerce | Upon Occur. | LFC | Stayner | |
| | Short Description: Presentation to Legislative Finance Committee on issuance of bonds for aerospace transportation and technology projects. | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2010 | Commerce | | | No occurrences to report |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 13 | 17-7-140(1)(b) | Agencies | Upon Occur. | LFD | Purdy | |
| | Short Description: Analyze if GF program is mandatory and analyze the impact of the proposed reduction in spending in instances in which the Governor is proposing reductions in spending of up to 10 percent. The "trigger" for this to occur is in statute. | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2010 | Various agencies | | 1/29/2010 | On file for LFD analysis |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 14 | 17-7-140(1)(b) | Budget Director | Upon Occur. | LFD | Purdy | |
| | Short Description: Copy of the recommendations for reductions in spending. The "trigger" for this to occur is in statute. | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2010 | OBPP | | 2/16/2010 | Provided for LFD/LFC review - Mar 2010 mtg |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|---|-------------------------|--------------|-----|----------------|----------------|
| 15 | 17-7-304(2) | USystem (Bd of Regents) | If Requested | LFC | Joehler | Duncan |
| | Short Description: Long-term plan for major and deferred maintenance expenditures and equipment or fixed assets. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|-----------------------------|
| | 2010 | | | | None requested at this time |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|--|------------------|-------------|-----|----------------|----------------|
| 16 | 17-8-101(5) | OBPP | Semi-Annual | LFC | Purdy | |
| | Short Description: Appropriations transferred from one state agency to another if original purpose is maintained. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|----------------|
| | 2010 | OBPP | 9/15/2009 | 8/20/2009 | On file |
| | 2010 | OBPP | 3/1/2010 | 3/2/2010 | On file |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|--|------------------|-----------|-----|----------------|----------------|
| 17 | 17-8-101(7) | Administration | Annually | LFC | DeWitt | |
| | Short Description: Nature, status, and justification for all new accounts in the enterprise fund and the internal service fund. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|----------------|
| | 2010 | Administration | 9/30/2009 | 8/31/2009 | On file |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|---|----------------------|-------------|-----|----------------|----------------|
| 18 | 33-22-1514(4) | Lead Carrier (BC/BS) | Semi-Annual | LFC | Stayner | |
| | Short Description: Report on the operation of the Montana Comprehensive Health Association plan by selected lead carrier, which is Blue Cross/Blue Shield. (Copies on the annual report are sent direct to all legislators.) | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|----------------------|-----------------|------------------|--------------------------------------|
| | 2010 | Lead Carrier (BC/BS) | 10/15/2009 | 11/4/2009 | On file - FY 2009 annual report |
| | 2010 | Lead Carrier (BC/BS) | 4/15/2010 | 2/24/2010 | On file - FY 2010 semi-annual report |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|---|------------------|-----------|-----|----------------|----------------|
| 19 | 39-71-2363(2)(b) | State Fund | October 1 | LFC | Wilkinson | |
| | Short Description: Submit for review the approved annual budget for State Fund for the succeeding fiscal year. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|--|
| | 2010 | State Fund | 10/1/2009 | 10/1/2009 | Posted on LFD website for Dec 09 LFC meeting |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|---|---------------------------|-----------|-----|----------------|----------------|
| 20 | 47-1-201(9)(a) | Office of Public Defender | Annual | LFC | Gervais | |
| | Short Description: Report by Office of Public Defender of data for each fiscal year representing caseload for the entire public defender system. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|----------------------------|
| | 2010 | Public Defender | 1/1/2010 | 12/31/2009 | On file |
| | 2011 | | 9/30/2010 | | FY 2010 report not due yet |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|---|---------------------------|-----------|-----|----------------|----------------|
| 21 | 47-1-201(9)(b) | Office of Public Defender | Annual | LFC | Gervais | |
| | Short Description: Report by Office of Public Defender on the amount of funds collected as reimbursement of services rendered. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|----------------------------|
| | 2010 | Public Defender | 1/1/2010 | 12/31/2009 | On file |
| | 2011 | | 9/30/2010 | | FY 2010 report not due yet |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|--|------------------|-----------|-----|----------------|----------------|
| 22 | 50-4-805(5)(a) | DPHHS | TBD | LFC | Steinbeck | |
| | Short Description: Interim reports on the status of the creation or expansion of community health centers and program expenditures as provided in HB 406 (2007 session) | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|----------------|
| | 2010 | | | | |

| Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|--|------------------|-------------|-----|----------------|----------------|
| 23 53-1-611(1) | DPHHS | Upon Occur. | LFC | Steinbeck | |
| Short Description: Report on proposed Medicaid block grants with respect to statutory criteria for evaluating such grants | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|-------------------------|
| | 2010 | | | | No reported occurrences |

| Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|--|------------------|-----------|-----|----------------|----------------|
| 24 53-6-110(4) | DPHHS | Monthly | LFC | Steinbeck | |
| Short Description: Monthly (11/15 - 6/15) reports containing estimates of the cost for Medicaid services and a budget status report for all department programs, and a fiscal year-end summary of Medicaid costs and department budget status report. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|---------------------------------|
| | 2010 | DPHHS | 9/15/2009 | 9/4/2009 | 2009 FYE report on file |
| | 2010 | DPHHS | 11/15/2009 | 11/16/2009 | On file - data as of 9/30/2009 |
| | 2010 | DPHHS | 12/15/2009 | 12/21/2009 | On file - data as of 10/31/2009 |
| | 2010 | DPHHS | 1/15/2010 | 1/19/2010 | On file - data as of 11/30/2009 |
| | 2010 | DPHHS | 2/15/2010 | 2/16/2010 | On file - data as of 12/30/2009 |
| | 2010 | DPHHS | 3/15/2010 | 3/12/2010 | On file - data as of 1/31/2010 |
| | 2010 | DPHHS | 4/15/2010 | 4/15/2010 | On file - data as of 2/26/2010 |
| | 2010 | DPHHS | 5/15/2010 | 5/14/2010 | On file - data as of 3/31/2010 |

| Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|--|------------------|-------------|-----|----------------|----------------|
| 25 53-6-116(5) | DPHHS | Upon Occur. | LFC | Steinbeck | |
| Short Description: Managed-care and capitated health care systems for medicaid recipients if developed. | | | | | |

| Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|---------------------------|---------------------|-----------------|------------------|---|
| | 0 | | | | No reported occurrences - none expected |

| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|-----------|--|---------------------------|----------------------|-----------------|------------------|---|
| 26 | 53-21-702(4)(d) | DPHHS | Upon Occur. | LFC | Steinbeck | |
| | Short Description: Mental Health Oversight Advisory Council summary of each meeting and copy of any recommendations made to the department | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2010 | | | | No reported occurrences |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 27 | 75-1-1101(5) | Governor (DNRC) | Annually by Sept 15 | LFC | Smith | |
| | Short Description: Annual financial report on the environmental contingency account, including a description of all expenditures made since the previous report (HB 116 of 2007 session effective July 1, 2007). First report would be for FY ending June 30, 2008. | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2010 | Governor (DNRC) | 9/15/2009 | 9/23/2009 | On file |
| | Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
| 28 | 85-1-605(4) | Nat Resources & Cons | Upon Occur. | LFC | Duncan | |
| | Short Description: Allocation of renewable resource grants and loans for emergencies. | | | | | |
| | Reports Scheduled / Rec'd: | <u>During Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
| | | 2010 | Nat Resources & Cons | | 9/9/2009 | On file - 6/30/09 grants report |
| | | 2010 | Nat Resources & Cons | | 9/9/2009 | On file - 9/1/2009 loans report |
| | | 2010 | Nat Resources & Cons | | 9/9/2009 | On file - 9/1/2009 grants report |
| | | 2010 | Nat Resources & Cons | | 2/16/2010 | On file - 2-1-2010 grants report |
| | | 2010 | Nat Resources & Cons | | 6/1/2010 | On file - 5-1-2010 report (no change to previous) |

| Authority | Reporting Entity | Frequency | To | Staff Review 1 | Staff Review 2 |
|--|------------------|-------------|-----|----------------|----------------|
| 29 90-6-703 | Commerce | Upon Occur. | LFC | Duncan | |
| Short Description: Report on emergency grants awarded from an appropriation from the treasure state endowment special revenue account for emergency grants. | | | | | |

| Reports Scheduled / Rec'd: | | <u>During</u> <u>Fiscal Year</u> | <u>Submitted by</u> | <u>Due Date</u> | <u>Recd Date</u> | <u>Comment</u> |
|----------------------------|--|-------------------------------------|---------------------|-----------------|------------------|----------------------------------|
| | | 2010 | Commerce | | 9/8/2009 | No occurrences as of this report |